

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
William Evans, et al.	)	Art Unit: Not Assigned
	)	
Application No.: 10/088,749	)	Examiner: Not Assigned
	)	
Filed: March 20, 2002	)	
	)	
For: Divalent Lanthanide Reduction	)	
Catalysts	)	

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

**Notification of Missing Requirements Under 35 U.S.C. 371**  
**Transmittal Letter**

Dear Sir,

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 mailed June 4, 2002.

- ☒ A Declaration of Inventorship and Limited Power of Attorney is enclosed.
- ☒ An Assignment of the invention to The Regents of the University of California, including a recordation cover sheet.
- ☒ Power of Attorney by Assignee and Exclusion of Inventor(s) under 37 C.F.R. 3.71.
- ☐ The following declaration(s) in support of Small Entity status for this application are enclosed:
  - ☐ Inventor(s).
  - ☐ Individual other than inventor.
  - ☐ Nonprofit organization.
  - ☐ Small business concern.
- ☒ Check No. 2707 in the amount of \$170.00.

08/02/2002 SFREY1 00000131 10030749

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100.00

- ☒ The Commissioner is hereby authorized to charge any underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No.50-0878.

Respectfully submitted,

Stout, Uxa, Buyan & Mullins, LLP

Date: July 31, 2002



Robert D. Buyan, Reg. No. 32,460

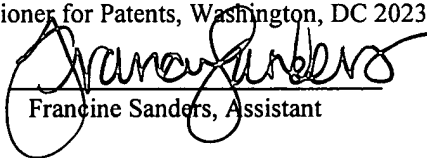
4 Venture, Suite 300  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box PCT, Commissioner for Patents, Washington, DC 20231, on July 31, 2002.

Dated: July 31, 2002

By:



Francine Sanders, Assistant



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/088,749	FIRST NAMED APPLICANT William J. EVANS	ATTY. DOCKET NO. UCIVN-007US
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INTERNATIONAL APPLICATION NO. PCT/US00/25520
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I.A. FILING DATE 09/18/2000	PRIORITY DATE 09/21/1999
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Robert D Buyan  
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4 Venture  
Suite 300  
Irvine, CA 92618

CONFIRMATION NO. 8816

371 FORMALITIES LETTER



\*OC00000008210507\*

Date Mailed: 06/04/2002

*Response Due 8/4/02*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report

*6/10/02*  
*Stout*

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

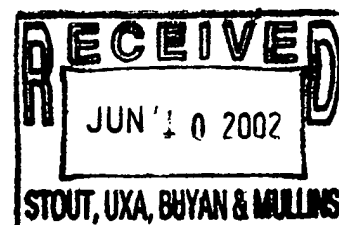
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:



- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,749	PCT/US00/25520	UCIVN-007US

FORM PCT/DO/EO/905 (371 Formalities Notice)